



DOCKET NO. : POPT-0002

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In Re Application of:

Gilbert A. Segal and Ernest H. Zerenner

Serial No.: Unknown

Group Art Unit: Unknown

Filing Date: Herewith

Examiner: Unknown

For: INTERACTIVE INTERNET ANALYSIS METHOD

EXPRESS MAIL LABEL NO: EL531271792US
DATE OF DEPOSIT: March 13, 2000

Box ☒ Patent Application
☐ Provisional ☐ Design

Assistant Commissioner for Patents
Washington DC 20231

Sir:

PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find

☒ A Utility Patent Application under 37 C.F.R. 1.53(b).

It is a continuing application, as follows:

☒ continuation ☐ divisional ☐ continuation-in-part of prior application number
09 / 086,738

☐ A Provisional Patent Application under 37 C.F.R. 1.53(c).☐ A Design Patent Application (submitted in duplicate).

Including the following:

- ☐ Provisional Application Cover Sheet.
- ☐ New or Revised Specification, including pages __ to __ containing:
- ☐ Specification
 - ☐ Claims
 - ☐ Abstract
 - ☐ Substitute Specification, including Claims and Abstract.
- ☐ The present application is a continuation application of Application No. _____ filed _____. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Since none of those amendments incorporate new matter into the parent application, the present revised Specification also does not include new matter.
- ☐ The present application is a continuation application of Application No. _____ filed _____, which in turn is a continuation-in-part of Application No. _____ filed _____. The present application includes the Specification of the parent application which has been revised in accordance with the amendments filed in the parent application. Although the amendments in the parent C-I-P application may have incorporated new matter, since those are the only revisions included in the present application, the present application includes no new matter in relation to the parent application.
- ☒ A copy of earlier application Serial No. 09/086,738 Filed 05/29/98, including Specification, Claims and Abstract (pages 1 - 12), to which no new matter has been added TOGETHER WITH a copy of the executed oath or declaration for such earlier application and all drawings and appendices. Such earlier application is hereby incorporated into the present application by reference.
- ☒ Please enter the following amendment to the Specification under the Cross-Reference to Related Applications section (or create such a section) : "This Application:
- ☒ is a continuation of ☐ is a divisional of ☐ claims benefit of U.S. provisional Application Serial No. 09/086,738 filed 05/29/98, which, in turn,

claims benefit of U.S. Provisional application serial no. 60/055,403, filed August 8, 1997 .

- ☐ Signed Statement attached deleting inventor(s) named in the prior application.
- ☐ A Preliminary Amendment.
- ☒ 2 Sheets of ☐ Formal ☒ Informal Drawings.
- ☐ Petition to Accept Photographic Drawings.
- ☐ Petition Fee
- ☒ An ☐ Executed ☒ Unexecuted Declaration or Oath and Power of Attorney.
- ☐ An Associate Power of Attorney.
- ☐ An ☐ Executed ☐ Copy of Executed Assignment of the Invention to _____
- ☐ A Recordation Form Cover Sheet.
- ☐ Recordation Fee - \$40.00.
- ☒ The prior application is assigned of record to Power Financial Group, Inc.
- ☐ Priority is claimed under 35 U.S.C. § 119 of Patent Application No. _____ filed _____ in _____ (country).
- ☐ A Certified Copy of each of the above applications for which priority is claimed:
- ☐ is enclosed.
- ☐ has been filed in prior application Serial No. _____ filed _____ .
- ☒ An ☐ Executed or ☒ Copy of Executed Earlier Statement Claiming Small Entity Status under 37 C.F.R. 1.9 and 1.27
- ☒ is enclosed.
- ☒ has been filed in prior application Serial No. 09/086,738 filed 05/29/98 ,

said status is still proper and desired in present case.

- ☐ Diskette Containing DNA/Amino Acid Sequence Information.
- ☐ Statement to Support Submission of DNA/Amino Acid Sequence Information.
- ☐ The computer readable form in this application _____, is identical with that filed in Application Serial Number _____, filed _____. In accordance with 37 CFR 1.821(e), please use the ☐ first-filed, ☐ last-filed or ☐ only computer readable form filed in that application as the computer readable form for the instant application. It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the computer readable form that will be used for the instant application. A paper copy of the Sequence Listing is ☐ included in the originally-filed specification of the instant application, ☐ included in a separately filed preliminary amendment for incorporation into the specification.
- ☐ Information Disclosure Statement.
- ☐ Attached Form 1449.
- ☐ Copies of each of the references listed on the attached Form PTO-1449 are enclosed herewith.
- ☐ A copy of Petition for Extension of Time as filed in the prior case.
- ☐ Appended Material as follows: _____.
- ☒ Return Receipt Postcard (should be specifically itemized).
- ☐ Other as follows: _____

_____.

FEE CALCULATION:

- ☐ Cancel in this application original claims _____ of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)

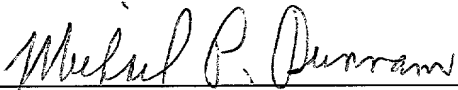
			SMALL ENTITY		NOT SMALL ENTITY	
			RATE	FEE	RATE	FEE
PROVISIONAL APPLICATION			\$75.00	\$	\$150.00	\$
DESIGN APPLICATION			\$155.00	\$	\$310.00	\$
UTILITY APPLICATIONS BASE FEE			\$345.00	\$345.00	\$690.00	\$
UTILITY APPLICATION; ALL CLAIMS CALCULATED AFTER ENTRY OF ALL AMENDMENTS						
	No. Filed	No. Extra				
TOTAL CLAIMS	20 - 20 =		\$9 each	\$	\$18 each	\$
INDEP. CLAIMS	3 - 3 =		\$39 each	\$	\$78 each	\$
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM			\$130	\$	\$260	\$
ADDITIONAL FILING FEE				\$		\$
TOTAL FILING FEE DUE				\$345.00		\$

- ☒ A Check is enclosed in the amount of \$ 345.00.
- ☒ The Commissioner is authorized to charge payment of the following fees and to refund any overpayment associated with this communication or during the pendency of this application to deposit account 23-3050. This sheet is provided in duplicate.
- ☐ The foregoing amount due.
- ☒ Any additional filing fees required, including fees for the presentation of extra claims under 37 C.F.R. 1.16.
- ☒ Any additional patent application processing fees under 37 C.F.R. 1.17 or 1.20(d).
- ☐ The issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance.
- ☒ The Commissioner is hereby requested to grant an extension of time for the appropriate length of time, should one be necessary, in connection with this filing or any future filing submitted to the U.S. Patent and Trademark Office in the above-

identified application during the pendency of this application. The Commissioner is further authorized to charge any fees related to any such extension of time to deposit account 23-3050. This sheet is provided in duplicate.

SHOULD ANY DEFICIENCIES APPEAR with respect to this application, including deficiencies in payment of fees, missing parts of the application or otherwise, the United States Patent and Trademark Office is respectfully requested to promptly notify the undersigned.

Date: March 13, 2000


Michael P. Dunnam, Esq.
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INTERACTIVE INTERNET ANALYSIS METHOD

This application is based on provisional application 60,055,403 filed on 08/08/97 under small entity status.

Field of the Invention:

The invention relates to a method for making investment choices. In particular the invention relates to a method of filtering and sorting online financial data within a server to meet user criteria and format.

Background of the Invention:

US Patent 4,823,265 disclosed a means for automated renewal of options until a designated event occurs. US Patent 4,334,270 disclosed a method for using a digital computer to update securities information of multiple accounts. US Patents 4,674,044; 5,297,031; 5,347,452 deal with computer methods and visual displays for stock market brokers. From US Patents 4,209,845; 4,611,280; 5,218,700; 5,260,999 and 5,630,123 it was known to use software filtering and sorting to produce lists and locate specific data records. US Patents 5,262,942 and 5,270,922 provide data processing systems to serve the special needs of major investment firms.

Yet in spite of the technological advances made to meet the needs of stock brokers and investment firms, the prior art contained no suggestion of how the individual investor's needs could be met with regard to processing financial data according to individualized criteria. For the individual investor the task of matching individual criteria, once the data was retrieved or

accessed in the published media, could require many hours, where over 2,700 stocks and over 40,000 options were involved.

Summary of the Invention:

It is the object of the invention to provide an interactive method via an Internet server for timely processing of data to meet the criteria of individual clients. This criteria can be easily changed to allow these clients the ability to request many variations of the data based on their individual needs. It is a further objective to provide quality results with improved speed of referral compared to existing business and financial systems.

The method of the invention includes the steps:

- establishing a data filtering and sorting system for accounts within a server;
- configuring the server to allow a client to interact with a database on the server;
- setting and modifying upon request filtering and sorting and reporting criteria; and
- providing timely access to online data as a means for filtering and sorting and reporting.

Brief Description of the Drawings:

Fig 1 The steps involved with acquiring the data and its storage on a server are illustrated in a flow diagram.

Fig 2 The steps involved with a multi-task account on a server, which can be queried by the individual investor, are illustrated in a flow diagram.

Detailed description of the Invention:

The problem with existing software dealing with stocks, stock options, futures, etc. was that it was devoted to meeting the needs of brokers and other professionals. Prior to the present invention there was no means available whereby the individual investor could make use of the speed of the computer in the same way as the investment professionals. Investment data is presented to individuals in a read only format with the content being very generic in nature. This invention gives these individual investors the ability to interact with a database in order to extract information, which is tailored to their individual needs. Individual criteria can be inputted and changed to explore different scenarios.

Detailed description of the Drawings:

Fig 1 Thousands of data items involving stocks and stock options are acquired from the Internet or other data source. These data items are sequentially processed to extract information of interest and further processed into a database one item at a time. Using stored fixed criteria and algorithms the database is created by calculation and made available for client query.

Fig 2 In the drawing all functions below the dotted line take place within a server, whereas the functions above the line require interaction of the client to configure and/or reconfigure processing within the server. Sequentially the client logs on and after a security check has the option to use preconfigured parameters or can modify filtering and sorting parameters. Within the server the request for data is checked to confirm subscription level according to the subscriber price paid for by the client. If the level does not exceed what has been paid for the request is stored, processed, assorted and calculated with provision for obtaining more Internet data if required. The requested selections are finally sent back to the client according to the criteria specified. Data acquisition and program languages are not limited to the ones illustrated in Fig. 2.

The following definitions pertain to the Figures and Preparations and Examples which serve to illustrate the practice of the invention without being limiting.

Browser	A Client side program that retrieves World Wide Web pages and displays them to the user.
HTML	HyperText Markup Language - This is the standard language used by Internet Browsers for describing the contents and structure of pages on the World Wide Web.
JAVA	A general-purpose programming language developed by Sun Microsystems Inc.
ASP	Active Server Page - Server side language developed by Microsoft Inc. for NT servers.
NT	A computer operating system developed by Microsoft Inc.

VB Script **Visual Basic Script** - An interpretive language developed by Microsoft Inc.

Server A Computer that offers services on a network. On the World Wide Web, the server is the computer that runs the Web server program.

De-convolute Extraction of data to present it in a different format.

Level The difference in subscriber capability is based on the price of the subscription.

Black-Scholes A mathematical formula used to calculate an option's theoretical value from the following inputs: stock price, strike price, interest rates, dividends, time to expiration, and volatility.

PREPARATION A

A software program for a server to use fixed and variable user criteria for a security account was coded wherein analysis of stock option data produces valuations and sorted lists from over two thousand stocks listed on one or more exchanges. The data is downloaded from the Internet or other source either at the close or after a transaction. Figure 1 provides a flow sheet for the first interactive server system to be evaluated and commercialized with both US and International Internet Clients.

PREPARATION B

A software program for a server capable of multi-function financial analysis for stocks, stock options, bonds, commodities, etc. was coded to provide for individual accounts to be established and maintained or modified and updated by means of investor criteria to set parameters for sorting, filtering, data selection, reports, etc. Figure 2 provides a flow sheet for modifications and improvements to Preparation A resulting from requests of clients who required alternatives other than those pre-programmed.

EXAMPLE 1

A one month trial program with over 200 users was used to evaluate Preparation A for operational flaws and defects in coding. Following corrections and modifications a commercial system was established with each client paying a monthly fee of \$29.95 US Dollars. One client was well pleased to obtain in just 15 minutes from a list of over 2,400 stocks, sorted options information, which previously took him more than 4 hours to calculate on a Saturday afternoon.

Table 1 contains the data obtained from a sort performed by the method:

TABLE 1

Stock name	symbol	opt. symbol	strike	bid	vol.	open int.	not called	called
Check Point	CHKPF	KEQBG	Feb 12.5	3 3/4	69	109	11.2%	15.7%
Yurie Sys.	YURI	YQIBX	Feb 22.5	2 3/4	20	81	12.1%	10.7%
Marine Drll	MDCO	QDMBW	Feb 17.5	1 15/16	417	604	11.0%	10.7%

Table 2 contains the investor selected sorts needed to obtain the sorted list of Table 1.

TABLE 2

Spread less than 5
 Not called % greater than 11
 Called % greater than 10
 Volume greater than 10
 Open interest greater than 10
 Stock price greater than 10 and less than 100
 Both in the money and out of the money calls

EXAMPLE 2

A server system as per Preparation B will allow both individual investors and financial professionals to customize a proprietary account to process financial data and business information not limited to stock options.

The main advantage attributed to the inventive method as illustrated in FIG 2 has been that the individual investor has been free to experiment with investment scenarios rather than being forced to rely on the generic read only formats available from stock brokers and investment professionals who have invested in computer systems designed to give them maximum income for minimum services. Unless those individual investors had portfolios worth in excess of two million dollars, they were simply classified within one of the low profit categories. Then by entering this minimal information a broker or investment professional can deliver buy and sell investment choices, which will provide steady income regardless of developing better than average results.

What we claim is:

1. A method for processing data within a server, with the steps:

- (1) establishing a filtering and sorting system for a client within a separate account on an interactive server;
- (2) setting and modifying upon request filtering and sorting and reporting parameters for each account;
- (3) providing timely access to a source of data and/or data bases as a means for filtering and sorting data and retrieving valuations, or other client information, graph or report; and
- (4) maintaining access to data and data bases for all accounts up to the level of service paid in full at the time of a request for service.

2. The method of claim 1 where the server is configured to allow a client to interact with a database on the server.

3. The method of claim 2 used to test and examine different scenarios with a variety of data sources.

4. The method of claim 1 where financial data is processed to provide valuations and sorted lists.

5. The method of claim 4 where the client criteria are selected from one or more: open interest, percent if called, percent if not called, in the money, out of the money, option month, spread between called and not called, minimum and maximum search criteria, price of stock, volume of the stock or option, volatility, or Black-Scholes value.

6. The method of claim 5 where stock option data is filtered and sorted and stored.
7. The method of claim 6 where the data is processed from a browser compatible page.
8. The method of claim 1 where financial data is downloaded from an Internet source to the server after the close of the market or from other market sources during the market day.
9. The method of claim 8 used for valuations of one or more months or long term leap options.
10. A method for selecting stocks, bonds, and derivatives with the steps:
 - (1) selecting criteria for sorting and filtering within an interactive server;
 - (2) setting the selected criteria within the server to establish a proprietary account;
 - (3) establishing an online link with the server;
 - (4) accessing timely financial data;
 - (5) processing the data via test criteria; and
 - (6) retrieving processed data.
11. The method of claim 10 with access to data and data bases both within the server and on the Internet.
12. The method of claim 10 where the processed data is one or more of valuation, sorted list, graph or report.
13. The method of claim 10 where the financial data is stock options obtained during the market operation.

14. The method of claim 10 where the financial data is stock options obtained after the market close.

15. The method of claim 14 where selection criteria include at least one of open interest, percent if called, percent if not called, in the money, out of the money, option month, spread between called and not called, minimum and maximum search criteria, price of stock, volume of the stock or option, volatility, Black-Scholes value, price earnings ratio, earnings or earnings change, % volume change, number of shares or capitalization, range between the high and the low, or put options.

16. A financial data service method with the steps:

- (1) supplying stock and stock option investment criteria for setting up or modifying a proprietary account on an interactive programmable server as a means for setting filtering and sorting and retrieving parameters for processing financial data;
- (2) establishing an online link with the server;
- (3) transferring financial data for processing;
- (4) processing the financial data; and
- (5) retrieving data in set format.

17. The method of claim 16 where an Internet source provides financial data obtained for stock and stock options after the close of trading for the day.

18. The method of claim 17 where the criteria for valuations and sorted lists for stock options are one or more of: open interest, percent if called, percent if not called, in the money, out of the money, option month, spread between called and not called, minimum and maximum search criteria, price of stock, volume of the stock or option, volatility, or Black-Scholes value, price

earnings ratio, earnings or earnings change, % volume change, number of shares or capitalization, range between the high and the low, or put options.

19. The method of claim 18 wherein stock option valuations and sorted lists are retrieved within 15 minutes of transferring the financial data.

20. The method of claim 16 wherein an individual investor interacts with a database on the server to test and examine different scenarios.

PATENT

ATTORNEY DOCKET NO: SEGAL

INTERACTIVE INTERNET ANALYSIS METHOD

ABSTRACT OF THE DISCLOSURE

A client establishes and/or modifies an interactive account on a server via proprietary sorting and filtering and reporting criteria as a means for timely processing of online financial data and/or other business information to retrieve valuations, sorted lists, etc. The method involves establishing a link with a server preset and programmable with client criteria for investment or decision making, acquiring data, sorting , filtering , etc.

FIG. 1

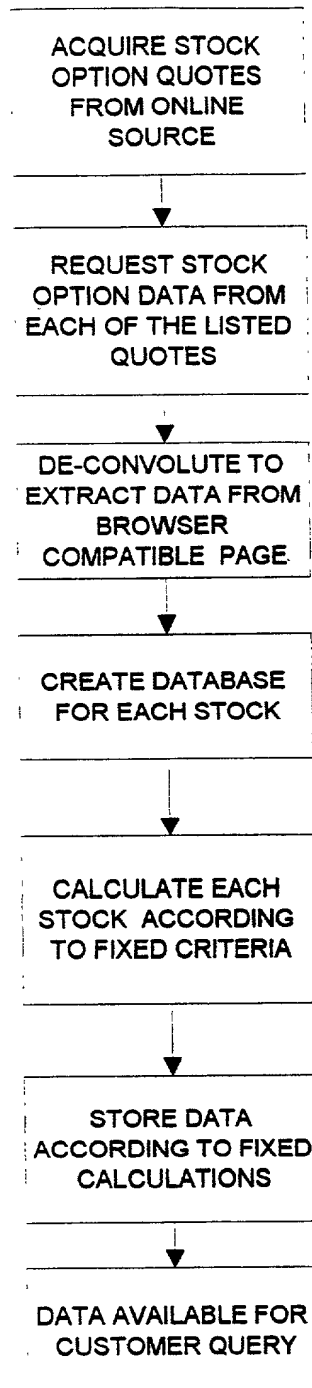
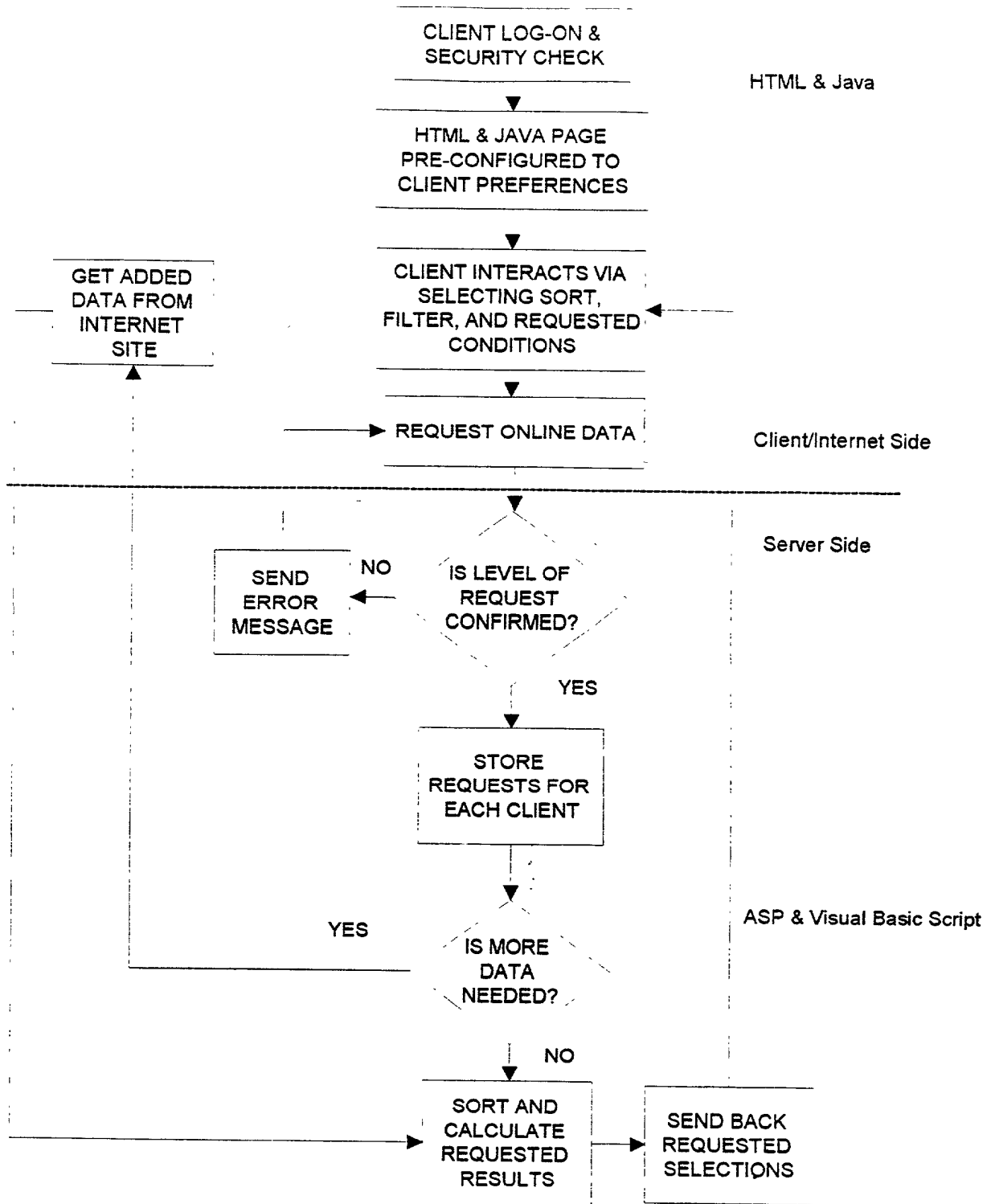


FIG 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:

Gilbert A. Segal and Ernest H. Zerenner

Group Art Unit: Unknown

For: **INTERACTIVE INTERNET
ANALYSIS METHOD**

Examiner: Unknown

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a

☒ Utility Patent ☐ Design Patent

is sought on the invention, whose title appears above, the specification of which:

- ☒ is attached hereto.
- ☒ was filed on May 29, 1998 as Serial No. 09/086,738 .
- ☐ said application having been amended on _____ .

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to the patentability of this application in accordance with 37 CFR § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a-d) of any **foreign application(s)** for patent or inventor's certificate listed below and have also identified below

any foreign application for patent or inventor's certificate having a filing date before that of any application on which priority is claimed:

Priority Claimed (If X'd)	Country	Serial Number	Date Filed
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____
<input type="checkbox"/>	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to be material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

Serial Number	Date Filed	Patented/Pending/Abandoned
<u>09/086,738</u>	<u>05/29/98</u>	<u>Pending/Allowed</u>

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Serial Number	Date Filed
<u>60/055,403</u>	<u>08/08/97</u>

I hereby appoint the following persons of the firm of **WOODCOCK WASHBURN KURTZ MACKIEWICZ & NORRIS LLP**, One Liberty Place - 46th Floor, Philadelphia, Pennsylvania 19103 as attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael P. Dunnam, Esq.

Reg. No. 32,611

Address all telephone calls and correspondence to:

Michael P. Dunnam, Esquire
**WOODCOCK WASHBURN KURTZ
MACKIEWICZ & NORRIS LLP**
One Liberty Place - 46th Floor
Philadelphia PA 19103
Telephone No.: (215) 568-3100
Facsimile No.: (215) 568-3439

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: Gilbert A. Segal	
Mailing Address: 34 Winding Hill Drive	Signature _____
City/State of Actual Residence: Hockessin, Delaware 19707	Date of Signature: _____
	Citizenship: <u>United States</u>

Name: Ernest H. Zerenner	Signature Date of Signature: _____ Citizenship: <u>United States</u>
Mailing Address: 30 Hayloft Circle	
City/State of Actual Residence: Wilmington, DE 19808	

Name:	Signature Date of Signature: _____ Citizenship: _____
Mailing Address:	
City/State of Actual Residence:	

Name:	Signature Date of Signature: _____ Citizenship: _____
Mailing Address:	
City/State of Actual Residence:	

DECLARATION and POWER OF ATTORNEY

Attorney's Docket Number **SEGAL**

As a below-named inventor, I declare that I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed in the specification identified below and for which a patent is sought on the invention as titled therein. I hereby state that I have reviewed and understand the contents of said specification including the claims, as amended by any amendment referred to herein. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R. 1.56(a).

Full Name of Inventor⁽¹⁾	Last Name Segal	First Name Gilbert	Middle Name Allan
Residence & Citizenship	City Hockessin	State or Foreign Country Delaware	Country of Citizenship US
Post Office Address	Post Office Address 34 Winding Hill Dr. Hockessin		Zip Code 19707
Full Name of Inventor⁽²⁾	Last Name Zerenner	First Name Ernest	Middle Name Hugo
Residence & Citizenship	City Wilmington Circle	State or Foreign Country Delaware	Country of Citizenship US
Post Office Address	Post Office Address 30 Hayloft Circle Wilmington		Zip Code 19808
Full Name of Inventor⁽³⁾	Last Name	First Name	Middle Name
Residence & Citizenship	City	State or Foreign Country	Country of Citizenship
Post Office Address	Post Office Address	City	State or Country Zip Code

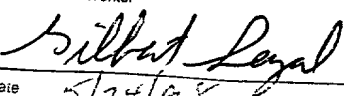
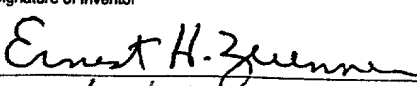
Title of the Invention:
INTERACTIVE INTERNET ANALYSIS METHOD

- ☒ Patent specification attached.
- ☐ Patent specification was filed on _____ as U.S. application Serial No. _____ and was amended on _____ (if applicable)
- ☐ No earlier-filed foreign applications of which priority benefit is claimed.
- ☐ Required information as to earlier-filed foreign applications of which priority benefit is claimed appears on page 2 attached.
- ☐ No earlier-filed U.S. applications of which priority benefit is claimed.
- ☐ Required information as to earlier-filed U.S. applications of which priority benefit is claimed appears on page 2 attached.

POWER OF ATTORNEY: The power to prosecute this application and transact all business in the Patent and Trademark Office connected therewith is hereby granted to the following ☒ attorney(s) ☐ agent(s):

Name: James K. Luchs	Registration Number: -29,824
Send correspondence and direct telephone calls to:	James K. Luchs 32 Hayloft Circle Wilmington, DE 19808
	Tel. No. (302) 234-0680

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor ⁽¹⁾ 	Signature of Inventor ⁽²⁾ 	Signature of Inventor ⁽³⁾
Date 5/24/98	Date 5/24/98	Date

SMALL ENTITY PATENT
ATTORNEY DOCKET NO. SEGAL

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: SEGAL and ZERENNER

FOR: INTERACTIVE INTERNET ANALYSIS METHOD

STATEMENT OF STATUS AS SMALL ENTITY

Honorable Commissioner of Patents & Trademarks
Washington, D.C. 20231

Sir:

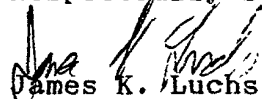
The following statement is submitted along with the subject application under CFR 1.27.

The subject application has been submitted by the two undersigned individuals acting in a private capacity without obligation to assign, convey, grant or license this invention other than to POWER FINANCIAL GROUP, INC. which is a small entity having these two undersigned individuals as principals. The undersigned signify that this application based on their Provisional Application is their own creative effort for which they and their wholly owned company qualify for the small entity filing fee of \$395.


GILBERT A. SEGAL


ERNEST H. ZERENNER

Respectfully submitted


James K. Luchs
Attorney for Applicants
PTO REG. NO. 29,824